

TRANSFERRING SELECT AGENTS & TOXINS

Appendix III

LETTER OF ASSURANCE

We require assurance that the products which you order from List Biological Laboratories, Inc. (List) will be used for research purposes and not for use in humans.

By signing below, you accept this condition and certify that product(s) purchased from List Biological Laboratories, Inc. will be used **FOR RESEARCH PURPOSES ONLY, NOT FOR USE IN HUMANS**. Furthermore, the quantity of Select Agents & Toxins in your possession, including the amount to be furnished by List, will not in the aggregate exceed that allowed by applicable laws and regulations. For current allowable amounts, consult the website for Centers for Disease Control and Prevention (CDC) or Animal and Plant Health Inspection Service (APHIS): www.selectagents.gov.

Provide to List the following information as attachments to this letter of assurance:

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| <ol style="list-style-type: none"> 1. A brief description of the research which will be done using List's products. 2. References for up to ten scientific publications (particularly your own publications) illustrating the purchaser's research interests, experience and capabilities. 3. A short description of the company or university department facility where work will be done. |
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Fill out the entire form below with information pertinent to the person requesting and using List's products.

Product(s) Interested in Purchasing: _____

Name of End-User of Product(s): _____

Name of Principal Investigator: _____

Company or Institution Name: _____

Complete Shipping Address: _____

City, State, Zip: _____

Country: _____

Direct Telephone Number of End-User: _____

E-Mail Address of End-User: _____

Department Main Telephone Number: _____

Signature of Principal Investigator: _____ Date (mo/day/year): _____

Number of additional pages submitted _____. Please return by fax to 408-866-6364 or email to info@listlabs.com, so that your request may be processed.